

Athene Annuity & Life Assurance Company

1. Client Information

Annuitant's Name

Social Security Number

Employer Retirement Plan Name

Plan's Tax Id Number

Street Address

City

State

Zip Code

()

Telephone Number

2. Present Trustee/Custodian or Insurance Company

Account/Contract Number

Please Check One

I have enclosed the contract.

I certify that the contract has been lost or destroyed.

Company Name

Attention

Street Address

City

State

Zip Code

()

Telephone Number

3. Authorization to Current Trustee, Custodian or Insurance Company

Please liquidate: All (or) Part (\$_____) of the above referenced employer retirement plan [401(a), 401(k) plan], do not withhold taxes, and transfer the proceeds, if any, directly to Athene Annuity and Life Assurance Company.

Make Check Payable To: Athene Annuity and Life Assurance Company, FBO contract owner's name.

Check Instructions: Please reflect new contract number shown below or Social Security number and existing contract number on check and **mail the check with a copy of this form** to the address stated on the back of this form.

New Contract Number _____

Existing Contract Number _____

4. Required Signatures

X _____
Signature of Owner

Date

X _____
Signature of Guarantee (If applicable)

Date

5. Letter of Acceptance to be Completed by Athene Annuity and Life Assurance Company

Athene Annuity and Life Assurance Company agrees to accept the assets being transferred over to be deposited into a plan, which is qualified under section 401(a), including 401(k) of the Internal Revenue Code.

X _____
Authorized Signature (If applicable)

Date



ATHENE ANNUITY & LIFE ASSURANCE COMPANY SERVICE CENTERS

For Contracts beginning with MA:

Athene Annuity & Life Assurance Company
PO Box 725449
Atlanta, GA 31139

Overnight Mail

Athene Annuity & Life Assurance Company
6425 Powers Ferry Road, Suite 300
Atlanta, GA 30339
1-866-690-1992

For All Other Contracts:

Athene Annuity & Life Assurance Company
PO Box 19087
Greenville, SC 29602-9087

Overnight Mail

Athene Annuity & Life Assurance Company
2000 Wade Hampton Blvd
Greenville, SC 29615
1-800-234-5514
