



CORPORATE OWNER
72(u) CERTIFICATION FORM

Athene Annuity & Life Assurance Company

CONTRACT INFORMATION

CONTRACT NUMBER

NAME OF ANNUITANT

NAME OF CONTRACT OWNER

TAX ID NUMBER OF CONTRACT OWNER

STREET ADDRESS

CITY

STATE

ZIP CODE

TELEPHONE NUMBER

Please read the following if you intend to designate a corporation as the owner of your Athene Annuity & Life Assurance Company ("Athene Annuity") annuity contract.

Definition of non-natural owner - a non-natural owner is an owner which is not a living person, and would include trusts, estates, corporations, partnerships, and other similar entities.

Section 72(u) of the Internal Revenue Code provides that if an annuity contract is owned by a non-natural owner, the income on the contract shall be treated as ordinary income received or accrued by the owner during the taxable year unless certain exceptions apply.

Athene Annuity is unable to render tax advice. Therefore, we suggest you consult your tax counsel or tax advisor to determine if you qualify for an exception under Section 72(u).

Certification of Beneficial Owner (s)

To help the United States government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. For purposes of this form, a legal entity includes a corporation, limited liability company, partnership, and any other similar business entity form in the United States or a foreign country. Athene may require a valid copy of your identification (i.e. non-expired governmental identification: driver's license, passport, etc).

Person(s) updating the annuity on behalf of a Legal Entity or naming such as Beneficiary must provide the following information:

Name of Person(s) updating the annuity or naming the Legal Entity as beneficiary:

Name of the Legal Entity for which the annuity is being updated or being named as beneficiary:

The Beneficial Owner may or may not be the owner or annuitant. The following information is required for each individual, if any, who directly or indirectly, through any contract, arrangement, understanding or otherwise owns 25% or more interest in the Legal Entity listed above:

Check here if no individual meets the above definition. This box is only to be checked if no individual controls or owns 25% or more interest in the Legal Entity.

Name Date of Birth Social Security Number For Foreign Person(s): Passport Number and Country of Issuance or other similar identification number

1In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

To ATHENE ANNUITY:

I understand that, under Section 72(u) of the Internal Revenue Code, a corporation may own an annuity contract and be entitled to tax-deferred status only if certain exceptions apply. Otherwise, the income on the contract shall be treated as ordinary income received or accrued by the owner during the taxable year. I understand that, if the requested annuity does not qualify for an exception under Section 72(u) of the Code, (1) the income on the annuity will NOT be tax deferred, (2) Athene Annuity will not issue a 1099 for the income on the annuity if the owner is a corporation, and (3) the corporation, as owner of the annuity, will be responsible for reporting applicable taxes on the annuity.

I have consulted with my tax advisor regarding the requested annuity. I hereby agree to indemnify and hold Athene Annuity harmless from any adverse tax consequences or other liability that may arise as a result of an incorrect interpretation of Section 72(u).

Signature of Corporate Officer

Date

Printed Name & Title of Corporate Officer

ATHENE ANNUITY SERVICE CENTERS

For contracts beginning with MA:

Athene Annuity & Life Assurance Company
PO Box 725449
Atlanta, GA 31139
Phone: 1-866-690-1992/Fax: 770-690-1985

For all others:

Athene Annuity & Life Assurance Company
PO Box 19087
Greenville, SC 29602-9087
Phone: 1-800-234-5514/Fax: 866-860-4024