

REQUEST TO TRANSFER TRADITIONAL IRA to SEP-IRA



Athene Annuity & Life Assurance Company

Home Office, West Des Moines, IA 50266

Athene Annuity & Life Assurance Company of New York

Home Office, Pearl River, NY 10965

1. CONTRACT INFORMATION

Contract Number		Name of Annuitant	
Name of Contract Owner		Social Security Number - -	
Mailing Address		Telephone Number	
City	State	Zip	

2. REQUEST TO TRANSFER

I request that my Traditional IRA be changed to a SEP-IRA effective immediately. I have enclosed IRS Form 5305A-SEP. This form can be found at www.irs.gov.

1099-Reporting No tax reporting is required.

Athene will not render tax advice. We suggest that you consult your tax advisor regarding your financial situation.

3. YOUR CONFIRMATION

This form must be received by the Company within 60 days of the signature date.

IRS CERTIFICATION

Under penalties of perjury, I certify that:

- The Social Security Number or Taxpayer Identification Number shown on this form is correct (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because:
 - I am exempt from backup withholding, or
 - I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or
 - the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (as defined in the General Instructions of IRS Form W-9), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. Exemption from FATCA reporting code (if any): _____. (FATCA reporting codes can be found in the General Instructions on IRS Form W-9.) If you are only submitting this form for an account you hold in the United States, you may leave this field blank.

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to avoid backup withholding.

Owner's Signature X	Owner's Title (if corporation or trust)	Signature Date (mm/dd/yyyy) / /
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If you are signing on behalf of the owner, print your name and provide your signature below and check one of the boxes to indicate the capacity in which you are signing. Provide documentation with the request that verifies your authorization to act on behalf of the owner, if you have not sent this documentation to us previously.

- Conservator
 Guardian
 Power of Attorney
 Assignee

Signature X	Signature Date (mm/dd/yyyy) / /
Print Name	